



23911

PATENT TRADEMARK OFFICE

July 20, 2001

BOX PCT

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Attorney Docket No. 2201/49996

Re: Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US)
Concerning a Filing Under 35 U.S.C. §371

International Application No.: PCT/JP00/08386
International Filing Date: November 29, 2000

Priority date claimed: 30 November 1999
Priority application number: 11-339330

Inventorship: Isao SAKATA
Susumu NAKAJIMA
Yoshinori NAKAE

Title: PORPHYRIN COMPOUNDS

Enclosed herewith for entering the national stage in the
United States is the above-referenced international application.

1. ☒ This is a FIRST submission of items concerning a filing
under 35 U.S.C. §371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items
concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination
procedures (35 U.S.C. §371(f)) at any time rather than
delay examination until the expiration of the
applicable time limit set in 35 U.S.C. §371(b) and PCT
Articles 22 and 39(1).

INTERNATIONAL APPLN. NO.: PCT/JP00/08386
ATTORNEY DOCKET NO.: 2201/49996

4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
- a. _____ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. X has been transmitted by the International Bureau. A copy of Form PCT/IB/308 is attached hereto.
- c. _____ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. [X] A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
- a. _____ are transmitted herewith (required only if not transmitted by the International Bureau)
- b. _____ have been transmitted by the International Bureau
- c. _____ have not been made; however, the time limit for making such amendments has NOT expired
- d. X have not been made and will not be made
8. [] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).
9. [X] An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) is:
- [X] Attached in the regular manner.
- [] NOT included, but deferred under P.L. 97-247.

INTERNATIONAL APPLN. NO.: PCT/JP00/08386
ATTORNEY DOCKET NO.: 2201/49996

10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment of the invention in favor of the following organization is enclosed for recordation. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
PHOTOCHEMICAL CO., LTD.
13. ☒ A FIRST Preliminary Amendment.
☐ A SECOND or SUBSEQUENT Preliminary Amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information:
☐ Form PCT/RO/101 Request (in English/in French)
☒ International Search Report
☒ Small Entity Declaration Under 37 C.F.R. 1.27
☒ 2 Sheets of Formal Drawings
☐ _____ Sheets of Informal Drawings
☐ The content of the paper and computer readable copy of the attached Sequence Listing, submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.
☒ Kindly appoint as associate attorneys (if not already a principal attorney) or agents:

Herbert I. Cantor, Reg. No. 24,392; James F. McKeown, Reg. No. 25,406; Donald D. Evenson, Reg. No. 26,160; Joseph D. Evans, Reg. No. 26,269; Gary R. Edwards, Reg. No. 31,824; and Jeffrey D. Sanok, Reg. No. 32,169

INTERNATIONAL APPLN. NO.: PCT/JP00/08386
ATTORNEY DOCKET NO.: 2201/49996

[X] The total amount due for the filing fee in this case
is:

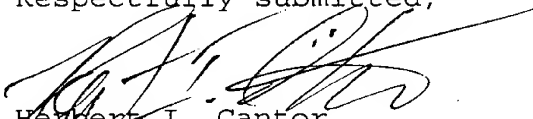
[X] Based on Small Entity Status

Total Number of Claims: 6
Total Independent Claims: 2

Basic filing fee, \$860/\$430.	\$ <u>430.00</u>
Independent Claims above 3, \$80/\$40 ea.	\$ <u> </u>
Total claims in excess of 20, \$18/\$9 ea.	\$ <u> </u>
Multiple dependency penalty, \$270/\$135	\$ <u> </u>
Declaration surcharge, \$130/65	\$ <u> </u>
English translation surcharge, \$130	\$ <u> </u>
 TOTAL FILING FEE DUE	 \$ <u>430.00</u>

Please forward all communications regarding this application
to the undersigned at the letterhead address.

Respectfully submitted,


Herbert I. Cantor
Reg. No. 24,392

HIC/tcv

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY FEES WHICH
MAY BE REQUIRED OR CREDIT ANY OVERPAYMENT TO DEPOSIT
ACCOUNT NO. 05-1323. THIS FORM IS FILED IN DUPLICATE.

THIS IS A GENERAL AUTHORIZATION EXCLUDING ONLY PAYMENT
OF THE ISSUE FEE.